During a trek through the Rockies in the 1830s, Captain Benjamin Louis E. de Bonneville received a gift of a fine young horse from a Nez Perce chief. According to Washington Irving’s account of the incident, the American explorer was aware that “a paring pledge was necessary on his own part, to prove that this friendship was reciprocated.” Accordingly, he “placed a handsome rifle in the hands of the venerable chief, whose benevolent heart was evidently touched and gratified by this outward and visible sign of amity.”

Even the earliest white settlers in New England understood that presents from natives required reciprocity, and by 1764, “Indian gift” was so common a phrase that the Massachusetts colonial historian Thomas Hutchinson identified it as “a proverbial expression, signifying a present for which an equivalent return is expected.” Then, over time, the custom’s meaning was lost. Indeed, the phrase now is used derisively, to refer to one who demands the return of a gift. How this cross-cultural misunderstanding occurred is unclear, but the poet Lewis Hyde, in his book The Gift, has imagined a scenario that probably approaches the truth.

Say that an Englishman newly arrived in America is welcomed to an Indian lodge with the present of a pipe. Thinking the pipe a wonderful artifact, he takes it home and sets it on his mantelpiece. When he later learns that the Indians expect to have the pipe back, as a gesture of goodwill, he is shocked by what he views as their short-lived generosity. The newcomer did not realize that, to the natives, the point of the gift was not to provide an interesting trinket but to inaugurate a friendly relationship that would be maintained through a series of mutual exchanges. Thus, his failure to reciprocate appeared not only rude and thoughtless but downright hostile. “White man keeping” was as offensive to native Americans as “Indian giving” was to settlers.

In fact, the Indians’ tradition of gift giving is much more common than our own. Like our European ancestors, we think that presents ought to be offered freely, without strings attached. But through most of the world, the strings themselves are the main consideration. In some societies, gift giving is a tie between friends, a way of maintaining good relationships, whereas in others it has developed into an elaborate, expensive, and antagonistic ritual designed to humiliate rivals by showering them with wealth and obligating them to give more in return.

In truth, the dichotomy between the two traditions of gift giving is less behavioral than rhetorical: our generosity is not as unconditional as we would like to believe. Like European colonists, most modern Westerners are blind to the purpose of reciprocal gift giving, not only in non-Western societies but also, to some extent, in our own. Public declarations to the contrary, we, too, use gifts to nurture long-term relationships of mutual obligation, as well as to embarrass our rivals and foster feelings of indebtedness. And this ethic touches all aspects of contemporary life, from the behavior of scientists in research networks to superpower diplomacy. Failing to acknowledge this fact, especially as we give money, machines,
and technical advice to peoples around the world, we run the risk of being misinterpreted and, worse, of causing harm.

Much of what we know about the ethics of gift giving comes from the attempts of anthropologists to give things to the people they are studying. Richard Lee, of the University of Toronto, learned a difficult lesson from the Kung hunter-gatherers, of the Kalahari desert, when, as a token of goodwill, he gave them an ox to slaughter at Christmas. Expecting gratitude, he was shocked when the Kung complained about having to make do with such a scruffy “bag of bones.” Only later did Lee learn, with relief, that the Kung belittle all gifts. In their eyes, no act is completely generous, or free of calculation; ridiculing gifts is their way of diminishing the expected return and of enforcing humility on those who would use gifts to raise their own status within the group.

Rada Dyson-Hudson, of Cornell University, had a similar experience among the Turkana, a pastoral people of northwestern Kenya. To compensate her informants for their help, Dyson-Hudson gave away pots, maize meal, tobacco, and other items. The Turkana reaction was less than heartwarming. A typical response to a gift of a pot, for example, might be, “Where is the maize meal to go in this pot?” or, “Don’t you have a bigger one to give me?” To the Turkana, these are legitimate and expected questions.

The Mukogodo, another group of Kenyan natives, responded in a similar way to gifts Beth Lecch and I presented to them during our fieldwork in 1986. Clothing was never nice enough, containers never big enough, tobacco and candies never plentiful enough. Every gift horse was examined carefully, in the mouth and elsewhere. Like the Kung, the Mukogodo believe that all gifts have an element of calculation, and they were right to think that ours were no exception. We needed their help, and their efforts to diminish our expectations and lessen their obligations to repay were as fair as our attempts to get on their good side.

The idea that gifts carry obligations is instilled early in life. When we gave Mukogodo children candies after visiting their villages, their mothers reminded them of the tie: “Remember these white people! They are the ones who gave you candy.” They also reinforced the notion that gifts are meant to circulate, by asking their children to part with their precious candies, already in their mouths. Most of the youngsters reluctantly surrendered their treasures, only to have them immediately returned. A mother might take, at most, a symbolic nibble from her child’s candy, just to drive home the lesson.

The way food, utensils, and other goods are received in many societies is only the first stage of the behavior surrounding gift giving. Although repayment is expected, it is crucial that it be deferred. To reciprocate at once indicates a desire to end the relationship, to cut the strings; delayed repayment makes the strings longer and stronger. This is especially clear on the Tikopia Islands, of Micronesia, where a special word—*nifyi*—is used to designate objects moving through the island’s exchange network. From the Tikueke viewpoint, to return nifyi on the same day it is received alters its nature from that of a gift to that of a sale, in which all that matters is material gain.

After deciding the proper time for response, a recipient must consider how to make repayment, and that is dictated largely by the motive behind the gift. Some exchange customs are designed solely to preserve a relationship. The Kung have a system, called *karoa*, in which little attention is paid to whether the items exchanged are equivalent. Richard Lee’s informant Xoma explained to him that “*karoa* is when I take a thing of value and give it to you. Later, much later, when you find some good thing, you give it back to me. When I find something good I will give it to you, and so we will pass the years together.” When Lee tried to determine the exact exchange values of various items (Is a spear worth three strings of beads, two strings, or one?), Xoma explained that any return would be all right: “You see, we don’t trade with things, we trade with people!”

One of the most elaborate systems of reciprocal gift giving, known as *kula*, exists in a ring of islands off New Guinea. Kula gifts are limited largely to shell necklaces, called *soula*, and armbrads, called *mawi*. A necklace given at one time is answered months or years later with an armbrad, the necklaces usually circulating clockwise, and the armbrads counterclockwise, through the archipelago. Kula shells vary in quality and value, and men gain fame and prestige by having their names associated with noteworthy necklaces or armbrads. The shells also gain value from their association with famous and successful kula partners.

Although the act of giving gifts seems intrinsically benevolent, a gift’s power to embarrass the recipient and to force repayment has, in some societies, made it attractive as a weapon. Such antagonistic generosity reached its most elaborate expression, during the late nineteenth century, among the Kwakiutl of British Columbia.

The Kwakiutl were acutely conscious of status, and every tribal division, clan, and individual had a specific rank. Disputes about status were resolved by means of enormous ceremonies (which outsiders usually refer to by the Chinook Indian term *potlatch*), at which rivals competed for the honor and prestige of giving away the greatest amount of property. Although nearly everything of value was fair game—blankets, canoes, food, pots, and, until the mid-nineteenth century, even slaves—the most highly prized items were decorated sheets of beaten copper, shaped like shields and studded with designs in the distinctive style of the Northwest Coast Indians.

As with the kula necklaces and armbrads, the value of a copper sheet was determined by its history—by what it had been and who had owned it—and a single sheet could be worth thousands of blankets, a fact often reflected in its name. One was called “Drawing All Property from the House,” and another, “About Whose Possession All Are Quarrelling.” After the Kwakiutl began to acquire trade goods from the Hudson’s Bay Company in Fort Rupert post, in 1849, the potlatches underwent a period of extreme inflation, and by the 1920s, when items of exchange included sewing machines and pool tables, tens of thousands of Hudson’s Bay blankets might be given away during a single ceremony.

In the 1880s, after the Canadian government began to suppress warfare between tribes, potlatching also became a substitute for battle. As a Kwakiutl man once said to the anthropologist Franz Boas, “The time of fighting is past.... We do not fight now with weapons: we fight with property.” The usual Kwakiutl word for potlatch was *plesa*, meaning to flatten (as when one flattens a rival under a pile of blankets), and the prospect of being given a large gift engendered real fear. Still, the Kwakiutl seemed to prefer the new “war of wealth” to the old “war of blood.”

Gift giving has served as a substitute for war in other societies, as well. Among the Siat of the Solomon Islands, guests at feasts are referred to as attackers, while hosts are defenders, and invitations to feasts are given on short notice in the manner of “surprise attacks.” And like the Kwakiutl of British Columbia, the Mount Hagen tribes of New Guinea use a system of gift giving called *moka* as a way of gaining prestige and shaming rivals. The goal is to become a tribal leader, a “big-man.” One moka gift in the 1970s consisted of several hundred pigs, thousands of dollars in cash, some cows and wild birds, a truck, and a motorbike. The donor, quite pleased with himself, said to the recipient, “I have won. I have knocked you down by giving so much.”

Although we tend not to recognize it as such, the ethic of reciprocal gift giving manifests itself throughout our own society, as well. We, too, often expect something, even if only gratitude...
and a sense of indebtedness, in exchange for gifts, and we use gifts to establish friendships and to manipulate our positions in society. As in non-Western societies, gift giving in America sometimes takes a benevolent and helpful form; at other times, the power of gifts to create obligations is used in a hostile way.

The Duke University anthropologist Carol Stack found a robust tradition of benevolent exchange in an Illinois ghetto known as the Flats, where poor blacks engage in a practice called swapping. Among residents of the Flats, wealth comes in spurs; hard times are frequent and unpredictable. Swapping, of clothes, food, furniture, and the like, is a way of guaranteeing security, of making sure that someone will be there to help out when one is in need and that one will get a share of any windfalls that come along.

Such networks of exchange are not limited to the poor, nor do they always involve objects. Just as the exchange of clothes creates a gift community in the Flats, so the swapping of knowledge may create one among scientists. Warren Hagstrom, a sociologist at the University of Wisconsin, in Madison, has pointed out that papers submitted to scientific journals often are called contributions, and, because no payment is received for them, they truly are gifts. In contrast, articles written for profit—such as this one—often are held in low esteem: scientific status can be achieved only through giving gifts of knowledge.

Recognition also can be traded upon, with scientists building up their gift-giving networks by paying careful attention to citations and acknowledgments. Like participants in kula exchange, they try to associate themselves with renowned and prestigious articles, books, and institutions. A desire for recognition, however, cannot be openly acknowledged as a motivation for research, and it is a rare scientist who is able to discuss such desires candidly. Hagstrom was able to find just one mathematician (whom he described as "something of a social isolate") to confirm that "junior mathematicians want recognition from big shots and, consequently, work in areas prized by them."

Hagstrom also points out the inability of scientists to acknowledge a desire for recognition does not mean that such recognition is not expected by those who offer gifts of knowledge, any more than a kula trader believes it is all right if his trading partner does not answer his gift of a necklace with an armband. While failure to reciprocate in New Guinean society might once have meant warfare, among scientists it may cause factionalism and distrust.

Whether in the Flats of Illinois or in the halls of academia, swapping is, for the most part, benign. But manipulative gift giving exists in modern societies, too—particularly in paternalistic government practices. The technique is to offer a present that cannot be repaid, coupled with a claim of beneficence and omniscience. The Johns Hopkins University anthropologist Grace Goodell documented one example in Iran's Khuzestan Province, which, because it contains most of the country's oil fields and is next door to Iraq, is a strategically sensitive area. Goodell focused on the World Bank-funded Dez irrigation project, a showpiece of the shah's ambitious "white revolution" development plan. The scheme involved the irrigation of tens of thousands of acres and the forced relocation of people from their villages to new, model towns. According to Goodell, the purpose behind disseminating local institutions was to enhance central government control of the region. Before development, each Khuzestani village had been a miniature city-state, managing its own internal affairs and determining its own relations with outsiders. In the new settlements, decisions were made by government bureaucrats, not townsmen, whose autonomy was crushed under the weight of a large and strategically placed gift.

On a global scale, both the benevolent and aggressive dimensions of gift giving are at work in superpower diplomacy. Just as the Kwakiutls were left with blankets with which to fight after warfare was banned, the United States and the Soviet Union now find, with war out of the question, that they are left only with gifts—called concessions—with which to do battle. Offers of military cutbacks are easy ways to score points in the public arena of international opinion and to shame rivals, and failure either to accept such offers or to respond with even more extreme proposals may be seen as cowardice or as bellicosity. Mikhail Gorbatchev is a virtuoso, a master potlatcher, in this new kind of competition, and, predictably, Americans often see his offers of disarmament and openness as gifts with long strings attached. One reason U.S. officials were buoyed last December, when, for the first time since the Second World War, the Soviet Union accepted American assistance, in the aftermath of the Armenian earthquake, is that it seemed to signal a wish for reciprocity rather than dominance—an unspoken understanding of the power of gifts to bind people together.

Japan, faced with a similar desire to expand its influence, also has begun to exploit gift giving in its international relations. In 1989, it will spend more than ten billion dollars on foreign aid, putting it ahead of the United States for the second consecutive year as the world's greatest donor nation. Although this move was publicly welcomed in the United States as the sharing of a burden, fears, too, were expressed that the resultant blow to American prestige might cause a further slip in our international status. Third World leaders also have complained that too much Japanese aid is targeted at countries in which Japan has an economic stake and that too much is restricted to the purchase of Japanese goods—that Japan's generosity has less to do with addressing the problems of underdeveloped countries than with exploiting those problems to its own advantage.

The danger in all of this is that wealthy nations may be competing for the prestige that comes from giving gifts at the expense of Third World nations. With assistance sometimes being given with more regard to the donors' status than to the recipients' welfare, it is no surprise that, in recent years, development aid often has been more effective in creating relationships of dependency, as in the case of Iran's Khuzestan irrigation scheme, than in producing real development. Nor that, given the fine line between donation and domination, offers of help are sometimes met with resistance, apprehension and, in extreme cases, such as the Iranian revolution, even violence.

The Indians understood a gift's ambivalent power to unify, antagonize, or subjugate. We, too, would do well to remember that a present can be a surprisingly potent thing, as dangerous in the hands of the ignorant as it is useful in the hands of the wise. 

LEE CRONK, an adjunct instructor of anthropology at the University of Cincinnati, in Ohio, is writing a book about the productive strategies of the Mukogodo natives of Kenya.

PICTURE CREDITS